



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen,  
Herman N. Eisen and Richard A. Young

Application No.: 09/761,534

Group: 1648

Filed: January 16, 2001

Examiner: Li, Bao Q.

Confirmation No.: 5869

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete  
Domain and is CD4<sup>+</sup> T Cell-Independent

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
<u>3-17-04</u>	<u>Denise Carideo</u>
Date	Signature
<u>Denise Carideo</u>	
Typed or printed name of person signing certificate	

AMENDMENT AFTER FINAL REJECTION UNDER 37 C.F.R. § 1.116

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Amendment After Final Rejection is being filed in response to the Final Office Action mailed from the U.S. Patent and Trademark Office on December 17, 2003 in the above-identified application.

A Notice of Appeal from the Final Office Action dated December 17, 2003, with appropriate fees, is being filed concurrently.

Please amend the application as follows:

March 16, 2004

PATENT APPLICATION

Attorney's Docket No.: 0399.2006-003

Expedited Procedure Under 37 C.F.R. §1.116

Examining Group 1648

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser,  
Jianzhu Chen, Herman N. Eisen and Richard A. Young

Application No.: 09/761,534

Group: 1648

Filed: January 16, 2001

Examiner: Li, Bao Q.

Confirmation No.: 5869

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a  
Discrete Domain and is CD4<sup>+</sup> T Cell-Independent

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

3-17-04

Date

Denise Caredeo

Signature

Denise Caredeo

Typed or printed name of person signing certificate

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is AMENDMENT AFTER FINAL REJECTION UNDER 37 C.F.R. § 1.116 for filing in the above-identified application.

[X] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

	(COL. 1)		(COL. 2)	(COL. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	22	MINUS	* 51	0
INDEP	2	MINUS	** 3	0
<input type="checkbox"/>	FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			

\* not fewer than 20

\*\* not fewer than 3

SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$ 9	\$
X	\$43	\$
+	\$145	\$

TOTAL = \$ 0

OTHER THAN SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$18	\$
X	\$86	\$
+	\$290	\$

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [            ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for [            ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input checked="" type="checkbox"/>	Other Fees:		
	Notice of Appeal	\$	165
	_____	\$	_____
	TOTAL:	\$	<u>165</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins  
Anne J. Collins  
Registration No.: 40,564  
Telephone (978) 341-0036  
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: March 17, 2004